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=> s mc 192 or (MC192) 33493 MC 2040 MCS

34960 MC

(MC OR MCS)

30687 192

3 MC 192

(MC(W) 192)

12 MC192

15 MC 192 OR (MC192) L1

=> s cancer? or neoplas? or tumor => s cancer? or neoplas? or tumor?

283169 CANCER?

438119 NEOPLAS?

417183 TUMOR?

1.2 690968 CANCER? OR NEOPLAS? OR TUMOR?

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0 L1 AND L2

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SINCE FILE TOTAL

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=> s mc 192 or (MC192)
        32880 MC
          2921 MCS
         35224 MC
                 (MC OR MCS)
         65659 192
             4 MC 192
                 (MC(W) 192)
            11 MC192
            14 MC 192 OR (MC192)
L4
=> s cancer? or neoplas? or tumor?
        74539 CANCER?
        21534 NEOPLAS?
         62442 TUMOR?
        93014 CANCER? OR NEOPLAS? OR TUMOR?
L5
=> s 14 and 15
L6
           11 L4 AND L5
=> s conjugat? or coupl? or link? or attach?
        71814 CONJUGAT?
        314207 COUPL?
        285938 LINK?
        353436 ATTACH?
       617080 CONJUGAT? OR COUPL? OR LINK? OR ATTACH?
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=> s 17 and 16
        11 L7 AND L6
L8
=> s 18 not py>2000
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             5 L8 NOT PY>2000
L9
=> s 19 not py>1999
        630082 PY>1999
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             4 L9 NOT PY>1999
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=> s anticancer or (anti () cancer) or chemotherap?
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            9 ANTICANCERS
         13135 ANTICANCER
                 (ANTICANCER OR ANTICANCERS)
        167501 ANTI
           165 ANTIS
        167532 ANTI
                 (ANTI OR ANTIS)
         70375 CANCER
         27076 CANCERS
         72542 CANCER
                 (CANCER OR CANCERS)
         10909 ANTI (W) CANCER
         29221 CHEMOTHERAP?
L11
         38810 ANTICANCER OR (ANTI (W) CANCER) OR CHEMOTHERAP?
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            1 L10 AND L11
L12
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=> d ibib

L12 ANSWER 1 OF 1 PCTFULL COPYRIGHT 2006 Univentio on STN

ACCESSION NUMBER: 1997021732 PCTFULL ED 20020514

TITLE (ENGLISH): DESIGN OF HORMONE-LIKE ANTIBODIES WITH AGONISTIC AND

ANTAGONISTIC FUNCTIONS

TITLE (FRENCH): OBTENTION D'ANTICORPS SIMULANT DES HORMONES ET AYANT

DES PROPRIETES D'AGONISTE ET D'ANTAGONISTE

INVENTOR(S): SARAGOVI, H., Uri;

LeSAUTER, Lynne

PATENT ASSIGNEE(S): McGILL UNIVERSITY;

SARAGOVI, H., Uri; LeSAUTER, Lynne

LANGUAGE OF PUBL.: English
DOCUMENT TYPE: Patent

PATENT INFORMATION:

DESIGNATED STATES

W:

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG US UZ VN KE LS MW SD SZ UG AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN

TD TG

APPLICATION INFO.: WO 1996-CA815 A 19961206 PRIORITY INFO.: GB 1995-9525180.7 19951208

=> d kwic

L12 ANSWER 1 OF 1 PCTFULL COPYRIGHT 2006 Univentio on STN

ABEN . . the NGF

docking site. Such antibodies may be used for the treatment, diagnosis or prevention of neurological

diseases, neuromas and neoplastic tumors which express TrkA receptors. Also these antibodies may be

used to develop and screen for pharmaceutical agents which are agonistic. . \cdot

ABFR . . . des nerfs (FCN). Cet anticorps peut

servir a diagnostiquer ou a soigner des maladies neurologiques, des nevromes et des tumeurs

neoplasiques qui expriment les recepteurs TrkA. Egalement, ces anticorps peuvent etre utilises pour developper et evaluer les agents pharmaceutiques qui sont. . .

DETD . . . NGF docking site. Such antibodies may be used for the diagnosis, treat

ies may be used for the diagnosis, treatment or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors. Also these antibodies may be used to develop and screen for pharmaceutical agents which are agonistic or antagonistic. . .

vivo inhibition of nerve

growth factor binding to TrkA receptor or the internalization or downmodulation of the receptor, such as for inhibiting tumor growth in situ, for the treatment or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors, for mapping hormone-receptor interactive sites and recep-

tor domain-function correlation such as mapping TrkA docking sites, for screening pharmacological. . .

In accordance with the present invention there is also provided a method for the treatment of neurological diseases, neuromas and neoplastic tumors which

express TrkA receptors in a patient, which comprises administering an effective amount of an antibody of the present invention or a functional. . .

In accordance with the present invention there is also provided a pharmaceutical composition for the treatment of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors, which comprises an effective amount of an antibody of the present invention or a functional fragment thereof in association. . .

In accordance with the present invention there is also provided a method for the prognosis or diagnosis of human tumors which comprises.

a) biopsy and immunocytochemistry of tumors using the antibody of the present invention and fragments thereof;

or

b) radiolabeling of the antibody of the present invention and fragments thereof and nuclear imaging. . .

In accordance with the present invention there is also provided a method for the treatment of human tumor of a patient which comprises the steps of.

a) coupling cytotoxic agents to the antibody of the present invention and fragments thereof;b) administering the coupled antibody of step a) to the patient.

of the

central and/or peripheral nervous system, which comprises an effective amount of an antibody of the present invention or a functional fragment thereof coupled to a pharmaceutical agent in association with a pharmaceutically acceptable carrier. The pharmaceutical agent may be selected from the group consisting of radioligands, . . .

and

Scatchard plot analysis;

Fig. 6 illustrates the protection from apoptotic death by 5C3 and 5C3 Fabs;

Fig. 7 illustrates nuclear imaging of tumors in vivo with 5C3;

Fig. 8 illustrates the survival of TrkA-expressing cells in serum-free media by 5C3 and derivatives;

Fig. 9 illustrates the differentiation/neuritogenesis of human TrkA-expressing cells in serum media; Fig. 10 illustrates Mab5C3 prevents TrkAexpressing tumor growth in vivo; and Fig. 11 illustrates the topography of the CDRs of the present invention.

(Sigma, Saint Louis, MO), anti-phosphotyrosine mAb 4G10 (UBI, Lake Placid,

NY), and anti-PI-3 kinase polyclonal serum (UBI) were purchased, mouse anti-rat p75 mAb MC192 ascites were a gift from P. Barker, and anti-p65 mAb 87 6 was grown in the laboratory.

products were characterized by SDS-PAGE under non-reducing or reducing conditions (100 mM 2-mercaptoethanol) to >98% purity. Control Fabs from anti-rat p75 mAb MC192 were similarly prepared.

NaCl, 0.5%

TweenTm-20, pH 7.6) containing 1% BSA (Sigma), and immunoblotted with the indicated primary mAbs- Secondary antibodies were either horseradish peroxidase (HRP) conjugated goat anti-rabbit IgG (HRP-GaR), or goat anti-mouse IgG (HRP-G(xM) (Sigma). For detection the enhanced chemiluminescence (ECL) reagents (Amersham, Oakville, Ont.) were used following. . .

mAbs, mAb

5C3 Fab fragments, control mAb 192 Fab fragments or serum (final 5% FBS, normal growth conditions). where indicated, Fabs were externally cross-linked with goat anti-mouse Fab (GamFab, Sigma). Wells containing all culture conditions but no cells were used as blanks.

Monomeric 5C3 Fab protection was dose-dependent. However, equivalent or better protective effects were achieved when Fabs were externally - 24 - cross-linked with G(xmFab antibodies. Specificity controls included those described in the previous section

for whole mAb 5C3, plus 192 Fabs which had.

Aberrant expression of trkA mRNA and NGF responsiveness have been correlated with neurodegenerative disorders and neoplastic malignancy. Hence, TrkA-binding agents will be useful clinical tools in diagnosis, prognosis and perhaps treatment of these diseases. Indeed, mAb 5C3 binding is a positive prognostic marker for certain human neoplasias.

in neuroblastoma
Neuroblastomas* Number Positive Mixed Negative
Group 1 60 38 17 5
Group 2 53 1 3 5 35
*15 samples repeated after chemotherapy, at the time
of second surgery or recurrence: 5C3 staining patterns
remained unchanged in 14 tumors; 1 negative tumor
subsequently positive post chemotherapy in regions of

- 25 Table 7
TrkA expression in other malignant tumors
Malignant tumor N=42 TrkA-Pos
Central nervous system tumors 6 0
Rhabdomyosarcomas 5 0
Primitive neuroectodermal tumors 6 0
Ewing's sarcomas 2 0
Wilm's tumors 6 1
Osteosarcomas 4 0
Melanomas 5 0

maturing elements.

Breast carcinomas 5 0
Lung carcinomas 3 0
Table 8
TrkA detection by immunocytochemistry, RT-PCR
and western blot
IMMUNOCYTO. N. . .

Thus, artificial ligands of TrkA can induce receptor internalization and could be useful in delivering toxic agents to the cytoplasma of TrkA-expressing tumors.

TrkA-expressing neuronal 4 6 cells or fibroblastoid E25 cells undergo apoptotic death in serum f ree media but can be rescued. . . combined at suboptimal doses, as would be expected if mAb 5C3 bound and activated unoccupied TrkA receptors. Furthermore, morphological changes and increased attachment to plastic were observed in both the NGF and 5C3 treated cells.

Monomeric 5C3 Fabs protected E25 and 4 6 cells from apoptotic death. When Fabs were externally cross-linked using anti-Fab antibodies, a heightened response occurred. Since growth factor receptor activation requires bivalent binding, the monomeric 5C3 Fabs must have retained. . .

EXAMPLE I

PRELIMINARY STUDY OF THE EFFECT OF mAb 5C3 IN TUMOR GROWTH

Nude mice were injected subcutaneously (right abdominal side) with 2X106 human TrkA expressing tumor cells. Two days post-injections tumors in all mice had begun to form. Mice were randomized prior to treatment. A total of four intraperitoneal injections of 100 micrograms. . .

The mAb 5C3 dramatically reduced the primary tumor weight with no observable metastatic invasion. A small fibrotic mass was localized at the site of injection in mAb 5C3 treated mice. In contrast, IgG treated mice had large, vascularized tumor masses, which metastasized to the liver, peritoneum gut and spleen. All animals had similar body weights ('30 grams).

Table 9
TREATMENT PRIMARY TUMOR WEIGHT METASTASIS WEIGHT (mg) mg)
5C3 mAb 50 ± 20 (fibrotic) NONE mouse IgG 800 ± 250 350 ± 20 EXAMPLE II

The use of Mab SC3 and its derivatives for the diagnosis, prognosis and localization of tumors The in vivo targeting ef f icacy of agents that bind the NGF receptor p140 TrkA was evaluated.

Nuclear imaging studies were done after the injection of 99mTc-labeled compounds in nude mice bearing tumors. Kinetics of tumor targeting, blood clearance, and bioavailability were studied. Tumors that do not

and bioavailability were studied. Tumors that do not express TrkA were not targeted, demonstrating the

specificity in vivo. This biodistribution study demonstrates that receptor-specific molecule analogs may be useful and may be effective agents for the detection, diagnosis, and possible treatment of neoplasias involving overexpressed oncogenic receptors such as TrkA (Fig. 7).

99mTc-[SC3]
Ligand % id/g T/nT
 tumor 1.25 1
blood 0.1 13
muscle 0.06 20
heart 0.10 13
lung 0.17 7.30
liver 0.61 2.10
spleen 0.13 9.42
kidney 1.48 0.9
large bowel 2.2 0.7
EXAMPLE III

5C3. . . relevant for binding TrkA. These were named CDR1, CDR2, and CDR3. Region CDR1 is connected to CDR2 by a 15 amino acid linker; CDR2 is connected to CDR3 by a 30 amino acid linker. Their secondary structures have been analyzed.

Monovalent Fabs of 5C3 obtained after papain digestion are also agonistic, especially when externally cross-linked by anti-Fabs. A smaller fragment of mAb 5C3 called CDR(R) also protects cells from apoptosis (Fig. 8).

than the 25 kDa NGF molecule and is still agonistic. CDR(R) is composed of 3 selected CDRs (out of 6 possible ones) linked by long spacer regions. Preliminary studies have suggested that actually only 2 of the 3 CDRs are relevant for binding to TrkA. Further, it is expected that even smaller fragments can be designed, e.g. upon removal of the linker regions.

CLMEN 5 The use of claim 3 or 4, for inhibiting tumor growth in situ.
6- The use of claim 3 or 4, for the treatment or prevention of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors.

14 A method for the treatment of neurological diseases, neuromas and neoplastic tumors which express
TrkA receptors in a patient, which comprises as

TrkA receptors in a patient, which comprises administering an effective amount of an antibody of claim 1 or a functional. . .

15 A pharmaceutical Composition for the treatment of neurological diseases, neuromas and neoplastic tumors which express TrkA receptors, which comprises an effective amount of an antibody of claim 1 or a functional fragment thereof in. . .

17 A method for the prognosis or diagnosis of human tumor which comprises:
a) biopsy and immunocytochemistry of tumors using the antibody of claim 12 and fragments thereof; or

```
18 A method f or the treatment of human tumor of a
       patient which comprises the steps of:
       a) coupling cytotoxic agents to the antibody of
       claim 12 and fragments thereof;
       b) administering the coupled antibody of step a)
       to said patient.
       the central
       and/or peripheral nervous system, which comprises an
       effective amount of an antibody of claim 1 or a func-
       tional fragment thereof coupled to a pharmaceutical
       - 43 -
       agent in association with a pharmaceutically acceptable
       carrier-
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        101650 HIS
            22 HISES
T.13
        101666 HIS
                 (HIS OR HISES)
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             15 S MC 192 OR (MC192)
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         690968 S CANCER? OR NEOPLAS? OR TUMOR?
L3
              0 S L1 AND L2
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L5
          93014 S CANCER? OR NEOPLAS? OR TUMOR?
L6
             11 S L4 AND L5
L7
         617080 S CONJUGAT? OR COUPL? OR LINK? OR ATTACH?
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              5 S L8 NOT PY>2000
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L10
              4 S L9 NOT PY>1999
L11
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              1 S L10 AND L11
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       ANSWER 1 OF 1
                         PCTFULL
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ACCESSION NUMBER:
                        1997021732 PCTFULL ED 20020514
TITLE (ENGLISH):
                        DESIGN OF HORMONE-LIKE ANTIBODIES WITH AGONISTIC AND
                        ANTAGONISTIC FUNCTIONS
TITLE (FRENCH):
                        OBTENTION D'ANTICORPS SIMULANT DES HORMONES ET AYANT
```

b) radiolabeling of the antibody of claim 12 and

fragments thereof and nuclear imaging.

DES PROPRIETES D'AGONISTE ET D'ANTAGONISTE

INVENTOR(S): SARAGOVI, H., Uri; LeSAUTER, Lynne

PATENT ASSIGNEE(S): McGILL UNIVERSITY;

SARAGOVI, H., Uri; LeSAUTER, Lynne

LANGUAGE OF PUBL.: English DOCUMENT TYPE: Patent

PATENT INFORMATION:

NUMBER KIND DATE -------WO 9721732 A1 19970619

DESIGNATED STATES

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE W:

ES FI GB GE HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG US UZ VN KE LS MW SD SZ UG AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN

TD TG

APPLICATION INFO.: WO 1996-CA815 A 19961206 GB 1995-9525180.7 19951208 PRIORITY INFO.:

The present invention relates to an agonistic anti-human TrkA mAb 5C3

which recognizes the NGF

docking site. Such antibodies may be used for the treatment, diagnosis

or prevention of neurological

diseases, neuromas and neoplastic tumors which

express TrkA receptors. Also these antibodies may be

used to develop and screen for pharmaceutical agents which are agonistic

or antagonistic to NGF

binding to the TrkA receptors.

ABFR L'invention concerne un anticorps monoclonal (ACm) 5C3 dirige contre le

recepteur TrkA humain

et reconnaissant le site d'ancrage du facteur de croissance des nerfs (FCN). Cet anticorps peut

servir a diagnostiquer ou a soigner des maladies neurologiques, des nevromes et des tumeurs

neoplasiques qui expriment les recepteurs TrkA. Eqalement, ces anticorps peuvent etre utilises pour

developper et evaluer les agents pharmaceutiques qui sont des agonistes ou des antagonistes de la

fixation du FCN au recepteur TrkA.

=> d 110 ibib 1-4

L10 ANSWER 1 OF 4 PCTFULL COPYRIGHT 2000 ONLYCENARY ACCESSION NUMBER: 1997021732 PCTFULL ED 20020514

DESIGN OF HORMONE-LIKE ANTIBODIES WITH AGONISTIC AND FUNCTIONS

TITLE (FRENCH): OBTENTION D'ANTICORPS SIMULANT DES HORMONES ET AYANT

DES PROPRIETES D'AGONISTE ET D'ANTAGONISTE

INVENTOR(S): SARAGOVI, H., Uri;

LeSAUTER, Lynne

PATENT ASSIGNEE(S): McGILL UNIVERSITY;

SARAGOVI, H., Uri; LeSAUTER, Lynne

LANGUAGE OF PUBL.: DOCUMENT TYPE:

English Patent

PATENT INFORMATION:

NUMBER KIND DATE -----WO 9721732 A1 19970619

DESIGNATED STATES

W: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE HU IL IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG US UZ VN KE LS MW SD SZ UG AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN

TD TG

GB 1995-9525180.7 A 19961206 APPLICATION INFO.: PRIORITY INFO.:

L10 ANSWER 2 OF 4 PCTFULL COPYRIGHT 2000 ONLINE ACCESSION NUMBER: 1994020125 PCTFULL ED 20020513
TITLE (ENGLISH): TREATMENT OF MOTOR NEURON DISEAS GROWTH FACTOR-5 (FGF-5) PCTFULL COPYRIGHT 2006 Univentio on STN

TREATMENT OF MOTOR NEURON DISEASES WITH FIBROBLAST

TRAITEMENT DES AFFECTIONS DES NEURONES MOTEURS A L'AIDE

DU FACTEUR DE CROISSANCE DES FIBROBLASTES 5 (FGF-5)

HUGHES, Richard, A.; INVENTOR(S):

SENDTNER, Michael;

LINDHOLM, Dan;

THOENEN, Hans, F., E.

MAX-PLANCK-GESELLSCHAFT ZUR FOERDERUNG DER PATENT ASSIGNEE(S):

WISSENSCHAFTEN E.V.

LANGUAGE OF PUBL.:

English DOCUMENT TYPE: Patent

PATENT INFORMATION:

NUMBER KIND DATE -----

WO 9420125 A1 19940915

DESIGNATED STATES

AU CA CN CZ FI HU JP KR NO NZ PL RU SI SK UA AT BE CH W:

DE DK ES FR GB GR IE IT LU MC NL PT SE

APPLICATION INFO.: WO 1994-EP764 A 19940311 PRIORITY INFO.: US 1993-8/030,611 19930312

INVENTOR(S): BURKLY, Linda, C.;

CHISHOLM, Patricia, L.;

THOMAS, David, W.; ROSA, Margaret, D.;

ROSA, Joseph, J.

BIOGEN, INC.; PATENT ASSIGNEE(S):

BURKLY, Linda, C.; CHISHOLM, Patricia, L.;

THOMAS, David, W.; ROSA, Margaret, D.;

ROSA, Joseph, J.

LANGUAGE OF PUBL.:

DOCUMENT TYPE: Patent

PATENT INFORMATION:

NUMBER KIND DATE -----

WO 9209305 Al 19920611

DESIGNATED STATES

AT AU BE BF BJ CA CF CG CH CI CM DE DK ES FR GA GB GN W:

GR IT JP LU ML MR NL SE SN TD TG US

APPLICATION INFO.: WO 1991-US8843 A 19911127 PRIORITY INFO.: US 1990-618,542 19901127

English

L10 ANSWER 4 OF 4 PCTFULL COPYRIGHT 2006 Univer ACCESSION NUMBER: 1989001975 PCTFULL ED 20020513 PCTFULL COPYRIGHT 2006 Univentio on STN

TITLE (ENGLISH): RECOMBINANT ANTIBODIES AND METHODS FOR THEIR PRODUCTION TITLE (FRENCH): ANTICORPS RECOMBINANTS ET LEURS PROCEDES DE PRODUCTION

INVENTOR(S): CATTANEO, Antonino;

NEUBERGER, Michael, Samuel

PATENT ASSIGNEE(S): CELLTECH LIMITED;

CATTANEO, Antonino;

NEUBERGER, Michael, Samuel

LANGUAGE OF PUBL.: English DOCUMENT TYPE:

Patent

PATENT INFORMATION:

NUMBER KIND DATE

_______ A1 19890309 WO 8901975

DESIGNATED STATES

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AT BE CH DE FR GB IT JP LU NL SE US

APPLICATION INFO.: WO 1988-GB695 A 19880824 PRIORITY INFO.: GB 1987-8719963 19870824

=> d 110 ibib kwic 4

L10 ANSWER 4 OF 4 PCTFULL COPYRIGHT 2006 011......

ACCESSION NUMBER: 1989001975 PCTFULL ED 20020513

TITLE (ENGLISH): RECOMBINANT ANTIBODIES AND METHODS FOR THEIR PRODUCTION

ANTICORPS RECOMBINANTS ET LEURS PROCEDES DE PRODUCTION

Antionino;

NEUBERGER, Michael, Samuel

PATENT ASSIGNEE(S): CELLTECH LIMITED;

CATTANEO, Antonino;

NEUBERGER, Michael, Samuel

LANGUAGE OF PUBL.: English DOCUMENT TYPE: Patent

PATENT INFORMATION:

NUMBER KIND DATE _____

WO 8901975 A1 19890309

DESIGNATED STATES

PRIORITY INFO.:

W: APPLICATION INFO.:

AT BE CH DE FR GB IT JP LU NL SE US WO 1988-GB695 A 19880824 GB 1987-8719963 19870824

DETD . . as single

units comprising two heavy and two light chains bound together in conventional fashion by disulphide.bonds. --Since IgG is monomeric, it readily attaches to its antigen, However, it is generally unable to activate any of the body's effector functions until it is

aggregated.

derived from one species and a constant region derived from another species, altered antibodies, i.e. antibodies having a variable region from an Ig attached by peptide linkage to a co-expressed

effector protein, and humanised antibodies, i.e.

use a gliama cell line as a host cell for the production of recombinant polymeric IgM. The glioma cell line is a cancerous cell line derived from glial cells from within the brain. Glial cells are classified as actively secreting cells. It is also possible. . .

pancreatic cells, and neural cells, such as pheochromal

cells and, in particular, glial cells. Such actively secreting cells have the advantage that nozmial (non-cancerous) cells can readily be cultured in vitro.

. .

thus provide a host system for the production of recombinant polymeric IqM which will avoid any problems inherent in the use of cancerous host cells.

.

also contains a single intron between the regions encoding most of the leader peptide and the VHDJH region. The transcription unit is linked to a gene encoding guanidine phosphoribosyl transferase (q-pt) which can be used as a selective marker.

•

cloned in a derivative of pSV2GPT (1) in which the BamHI site had been converted to a SacI site by use of linkers. The HS-V p 2 transcription unit was assembled in three parts. The promoter/transcription szart region was obtained from plasmid pF1 (2) as. . .

. но

HOPC 2020 but

with the promoter/leader exon replaced by the hs-P70 promoter/VH-leader portion of plasmid pSV-HSVg2. The HSVX1 light chain transcription unit is linked to a gene endowing resistance to neomycin (neo) which can be used as a selective marker.

derivative of pSV2neo

- (6) in which the HindIII site has been destroyed by filling in and a new HindIII site created by linker insertion in the BamHI site. The promoter/transcription start/leader region is the same as in pSV-HSV W 2 except in that (i) the region. . .
- (i) measuring receptors for nerve growth factor (NGF)(13); fluorescent staining with antibody MC 192(14);

immunoprecipitation of S100 protein (15); and immunofluorescent staining for glial fibrillar acidic protein (16). The PC12 cells were shown to respond to NGF.

Purification on hapten sorbents was carried out using NIP-caproate linked to Sepharose as previously described (9).

.

and analysed either after reduction (left panel) on a 7.5% SDS/polyacrylamide gel or unreduced (right panel) on a 4%polyacrylamide gel made using N,N'-diallyltartardiamide to cross-link. The positions of the originr IgMf IgE, IgG, and W markers are indicated.

=> file his

'HIS' IS NOT A VALID FILE NAME SESSION CONTINUES IN FILE 'PCTFULL'

Enter "HELP FILE NAMES" at an arrow prompt (=>) for a list of files that are available. If you have requested multiple files, you can specify a corrected file name or you can enter "IGNORE" to continue accessing the remaining file names entered.

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     FILE 'CAPLUS' ENTERED AT 11:58:33 ON 10 FEB 2006
L1
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         690968 S CANCER? OR NEOPLAS? OR TUMOR?
L2
L3
             0 S L1 AND L2
     FILE 'PCTFULL' ENTERED AT 11:59:34 ON 10 FEB 2006
L4
            14 S MC 192 OR (MC192)
L5
         93014 S CANCER? OR NEOPLAS? OR TUMOR?
L6
            11 S L4 AND L5
        617080 S CONJUGAT? OR COUPL? OR LINK? OR ATTACH?
L7
^{18}
            11 S L7 AND L6
L9
             5 S L8 NOT PY>2000
             4 S L9 NOT PY>1999
L10
L11
         38810 S ANTICANCER OR (ANTI () CANCER) OR CHEMOTHERAP?
L12
            1 S L10 AND L11
        101666 F HIS
L13
L14
             1 S L12
=> s 19 not 110
L15
           1 L9 NOT L10
=> d ibib
     ANSWER 1 OF 1
                       PCTFULL COPYRIGHT 2006 Univentio on STN
ACCESSION NUMBER:
                       2000037103 PCTFULL ED 20020515
                       COMPOUNDS FOR INTRACELLULAR DELIVERY OF THERAPEUTIC
TITLE (ENGLISH):
                       MOIETIES TO NERVE CELLS
TITLE (FRENCH):
                       COMPOSES D'APPORT INTRACELLULAIRE DE GROUPES
                       CARACTERISTIQUES THERAPEUTIQUES A DES CELLULES
                       NERVEUSES
                       WEBB, Robert, R.;
INVENTOR(S):
                       MCKEE, Constance, A.
                       XAVOS;
PATENT ASSIGNEE(S):
                       WEBB, Robert, R.;
                       MCKEE, Constance, A.
LANGUAGE OF PUBL.:
                       English
DOCUMENT TYPE:
                       Patent
PATENT INFORMATION:
                                        KIND DATE
                       NUMBER
                       ______
                       WO 2000037103 A2 20000629
DESIGNATED STATES
                       AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE
      W:
                       DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE
                       KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX
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                                          A 19991129
APPLICATION INFO.:
                       WO 1999-US28211
                       US 1998-09/217,037 19981221
PRIORITY INFO.:
=> s IR3
         1062 IR3
L16
=> s antibod?
L17 84196 ANTIBOD?
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=> s 117 and 116

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=> d his
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DESIGNATED STATES

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        690968 S CANCER? OR NEOPLAS? OR TUMOR?
L3
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    FILE 'PCTFULL' ENTERED AT 11:59:34 ON 10 FEB 2006
L4
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         93014 S CANCER? OR NEOPLAS? OR TUMOR?
L5
L6
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L17
         84196 S ANTIBOD?
          191 S L17 AND L16
L18
=> s 118 and 15
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=> s 119 and 17
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      152 L19 AND L7
=> s 111 and 120
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           20 L22 NOT PY>1999
=> d ibib 1
      ANSWER 1 OF 20
                       PCTFULL COPYRIGHT 2006 Univentio on STN
L23
                      1999030727 PCTFULL ED 20020515
ACCESSION NUMBER:
TITLE (ENGLISH):
                       POLYMERIC PRODRUGS OF AMINO- AND HYDROXYL-CONTAINING
                       BIOACTIVE AGENTS
TITLE (FRENCH):
                       PRODROGUES POLYMERIQUES D'AGENTS BIOACTIFS CONTENANT
                       AMINE OU HYDROXY
INVENTOR(S):
                       GREENWALD, Richard, B.;
                       PENDRI, Annapurna;
                       CHOE, Yun, H.
                       ENZON, INC.
PATENT ASSIGNEE(S):
LANGUAGE OF PUBL.:
                       English
DOCUMENT TYPE:
                       Patent
PATENT INFORMATION:
                       NUMBER
                                       KIND DATE
                       ______
                       WO 9930727 Al 19990624
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w:
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                        ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR
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                        RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW
                        GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM
                        AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
                        BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
APPLICATION INFO.:
                        WO 1998-US26565 A 19981214
                        US 1997-08/992,435
PRIORITY INFO.:
                                                19971217
                        US 1998-09/183,557
                                                19981030
=> d kwic
L23
       ANSWER 1 OF 20
                         PCTFULL
                                  COPYRIGHT 2006 Univentio on STN
ABEN .
       . . to double prodrugs containing polymeric-based transport
       forms. These polymeric prodrugs are preferably of formula (I) wherein:
       L1 is a bifunctional linking
       moiety; in formula (a) B is H, a leaving group, a residue of an
       amine-containing moiety, or a
       residue of. .
DETD . . AND
       HYDROXYL-CONTAINING BIOACTIVE AGENTS
       TECHNICAL FIELD
       The present invention relates to double prodrugs. In particular, the
       invention relates to polymefic-based double prodrugs having reversible
       linkages
       involving amino and hydroxyl moieties of chemical compounds and
       biologically
       active materials such as enzymes, proteins and the like.
       as with alkaloids, it has been
       determined that when only one or two polymers of less than about IO, 000
       daltons
       are conjugated thereto, the resulting conjugates are
       rapidly eliminated in vivo
       especially if a somewhat hydrolysis-resistant linkage is used.
       In fact, such
       O conjugates are so rapidly cleared from the body that even if
       a hydrolysis-prone ester
         linkage is used, not enough of the parent molecule is
       regenerated in vivo. This is
       often not a concern with moieties such as proteins, enzymes and the like
       even when
       hydrolysis-resistant linkages are used. In those cases
       multiple polymer strands, each
       having a molecular weight of about 2-5 kDa, are used to further. . .
       L, is a bifunctional linking moiety such as Y5
       7 R,
       or la
       f M-C
       R8 CFb P
       L Jn q
       Υ,
       11
       G is H or -C where
       B is H, a leaving.
       of a biologically active compound which remains
       after it has undergone a substitution reaction in which the prodrug
       carrier portion
```

```
has been attached.
capable of solubilizing amine-containing or
hydroxyl-containing compounds and extending their half-life as compared
to the
native or even second prodrug counterparts. The linkage
between the polymer
3 0 and the second prodrug compound as described above, hydrolyzes at a
rate which
allows the compound to. .
Methods of making and using the compounds and conjugates
described
herein are also provided.
R21 r S
9 Y4
RI
RI, Li]]C Y3 L; -y2'-G
f - I
RIO K4
M Ar V
IR t R51 U
wherein: R14
Li is a bifunctional linking moiety such as Y5
R15
7 R7 L Ja
or I
f M-C
R8 4CF42 P
L-Jnq
Υ,
G is H or where
B is H, . . .
C. LENKER MOIETY LI
As shown above, the invention includes bifunctional linking
moiety L, which
Y4
11
when combined with C, forms an amino acid residue linker, or
when (p) is greater
than one, a peptide residue linker.
D. THE DOUBLE PRODRUG LINKAGE PORTION
The first labile bond of the double prodrug system, which joins the L,
is selected to hydrolyze, such as via. .
methyl or ethyl or substituted C,-6 alkyl. It is preferred that X is
either 0 or NR, 2-
2. Q Portion of the Linker
Alternatively, when L, includes Q, which is a moiety containing a fi-ee
electron pair positioned three to six atoms from the ], moiety, the
polymer, RI,, is
preferably attached to Q via a heteroatom such as oxygen. In a
preferred
12
embodiment, the free electron pair is five atoms from this. . .
```

```
In these embodiments, R,, is attached to Q via NR,2, 0, or S.
Thus, Q
assists hydrolysis of the prodrug linkage by anchimeric
assistance because the free
electron pair moiety can generate a three- to six-membered, but
preferably five-
membered, ring by-product upon hydrolysis of the preferably ester
linkage.
The linkages included in the compounds have hydrolysis rates
in the plasma
of the mammal being treated which is short enough to allow.
the disclosure of each is incorporated herein by reference. It will be
understood that the water-soluble polymer will be functionalized for
attachment to
the linkage via M, X or Q herein. As an example, the PEG
portion of the prodrugs
can be the following non-limiting compounds:.
In order to provide the desired hydrolyzable linkage, mono- or
di-acid
activated polymers such as PEG acids or PEG diacids can be used as well
as mono-
or di-PEG amines. . .
in the double prodrug must be sufficient so as to provide
sufficient circulation of the double prodrug before hydrolysis of the
linker.
Within the ranges provided above, polymers having molecular weight
ranges of at
least 20,000 are preferred in some aspects for chemotherapeutic
and organic
moieties. In the case of some nucleophiles such as certain proteins,
enzymes and
the like, polymers having a molecular weight. . .
form
(IV) with an activating moiety donor such as p-nitrophenyl chloride
(PNP-Cl)
(forming, for example, compound (V) in Figure 1); and optionally
e. attaching an amine-containing or hydroxyl-containing
compound
residue, e.g. the drug to be transported, to compound (V) by displacing
the leaving
group in a.
the first
method above and reacting it with an activating moiety donor such as
p-nitrophenyl
chloride (PNP-Cl) forming (VI) in Figure 1;
b. attaching an amine-containing or hydroxyl-containing
compound,
e.g. the drug to be transported, to the activated intermediate compound
(VI);
1 8
C. removing the protecting.
The resulting conjugated prodrug composition is then recovered
or isolated using
techniques known to those of ordinary skill, i.e. filtered,
recrystallized.
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```
Once in place, the activated form of the PEG prodrug (or blocked
prodrug) is ready for conjugation with an amine- or
hydroxyl-containing compound.
Ara-C (cytosine arabinoside) and related anti-metabolite
compounds, e.g., gemcitabine, etc. Alternatively, B can be a residue of
an amine-
containing cardiovascular agent, anti-neoplastic,
anti-infective, anti-fungal such as
nystatin and amphotericin B, anti-anxiety agent, gastrointestinal agent,
nervous system-activating agent, analgesic, fertility agent,
contraceptive agent, anti-
inflammatory.
Suitable proteins, polypeptides, enzymes, peptides and the like having
least one available amino group for polymer attachment include
materials which
have physiological or pharmacological activities as well as those which
are able to
catalyze reactions in organic solvents. The. . .
factors and
phospholipase-activating protein (PLAP). Other proteins of general
biological or
therapeutic interest include insulin, plant proteins such as lectins and
ficins, tumor
necrosis factors and related proteins, growth factors such as
transforming growth
factors, such as TGFa's or TGFP's and epidermal growth factors,
hormones,
23
sornatomedins,. . .
of a polypeptide demonstrating in vivo
bioactivity. This includes amino acid sequences, nucleic acids (DNA,
RNA) peptide
2 0 nucleic acids (PNA), antibody fragments, single chain
binding proteins, see, for
example U.S. Patent No. 4,946,778, disclosure of which is incorporated
herein by
reference, binding molecules including fusions of antibodies
or fragments,
polyclonal antibodies, monoclonal antibodies and
catalytic antibodies.
herein is that there is available at least one (primary or secondary)
amine
2 0 containing position which can react and link with a
carrier portion and that there is
not substantial loss of bioactivity after the double prodrug system
releases and
regenerates the. . .
incorporation into the double
prodrug compositions of the invention, may themselves be
substances/compounds
which are not active after hydrolytic release from the linked
composition, but which
will become active after undergoing a further chemical process/reaction.
example, an anticancer drug that is delivered to the
bloodstream by the double
```

```
prodrug transport system, may remain inactive until entering a
cancer or tumor cell,
whereupon it is activated by the cancer or tumor
cell chemistry, e.g., by an
enzymatic reaction unique to that cell.
After conjugation, the remaining arnine-containing compound is
referred to
as the residue of the unconjugated compound.
trees indigenous to China and nothapodytesfoetida trees
indigenous to India. Camptothecin and related compounds and analogs are
also
known to be potential anticancer or antitumor agents and have
been shown to
exhibit these activities in vitro and in vivo. Camptothecin and related
compounds
are also.
The A ring can also be substituted in the 9-position with a straight or
branched CI-30 alkyl or C,47 alkoxy, optionally linked to the
ring by a heteroatom
i.e.- 0 or S. The B ring can be substituted in the 7-position with a. .
OH moiety which is capable of reacting directly with activated forms of
polymer transport systems described herein or to the linking
moiety intermediates,
e.g. iminodiacetic acid, etc., which are then attached to a
polymer such as PEG.
2 0 OH
NH
Ph
ACO
5BZ
Paclitaxel: R', C61-15; R'2 CH3CO; Taxotere: R', (CH3)3CO; R'2 H
These derivatives have been found to be effective anti-
cancer agents.
parent compounds include, for example, certain low
molecular weight biologically active proteins, enzymes and peptides,
including
peptido glycans, as well as other anti-tumor agents,
cardiovascular agents such as
forskolin; anti-neoplastics such as combretastatin,
vinblastine, doxorubicin, Ara-C,
maytansine, etc.; anti-infectives such as vancomycin, erythromycin,
etc.; anti-
fungals such as nystatin, amphoteracin B, triazoles,.
the double
2 0 prodrug compositions of the invention, may themselves be
substances/compounds
which are not active after hydrolytic release from the linked
composition, but which
will become active after undergoing a further chemical process/reaction.
example, an anticancer drug that is delivered to the
bloodstream by the double
prodrug transport system, may remain inactive until entering a
```

cancer or tumor cell, whereupon it is activated by the cancer or tumor cell chemistry, e.g., by an enzymatic reaction unique to that cell. 29 After conjugation, the remaining amine-or hydroxyl-containing compound is referred to as the residue of the unconjugated compound. not only the reversible double prodrug system described above but also a second polymeric transport system based on more permanent types of linkages. The hybrids can be prepared by at least two methods. For example, the benzyl-elimination-based double prodrug can be synthesized first and then PEGylated using any art-recognized activated polymer such as thiazolidinyl thioneor succinimidyl carbonate-activated PEG. Alternatively, the more permanent conjugation reaction can be performed first and the resultant conjugates can be used to form the double prodrug conjugates described herein. It will be understood that the hybrid systems will be better suited for proteins, enzymes and the like where multiple amino groups are available for attachment of the polymeric transport forms. For purposes of the present invention, activated polymers will be understood to include polymers containing one or. . The activating terminal moiety can be any group which facilitates conjugation of the polymers with the biologically active material, i.e. protein, enzyme, etc. either before of after the double prodrug transport system. for, among other things, treating diseases which are similar to those which are treated with the parent compound, e.g. enzyme replacement therapy, neoplastic disease, reducing tumor burden, preventing metastasis of neoplasms and preventing recurrences of tumor/neoplastic growths in mammals. Synthesis of (24b): Compound 24b was prepared in a similar manner to compound 24a using a 40 kDa MW PEG linker 4b in place of MW 5 kDa linker 4a. UV assay for this compound indicated the amount of daunorubicin present is 2.3 %. In vitro and in vivo results for. Synthesis of compound (26b): Compound 26b was prepared in a similar manner to compound 26a using a 40 kDa PEG linker 8b in place of the 5 kDaPEG linker 8a. UV assay for this compound indicated the amount of daunorubicin present is 2.1 %.

Synthesis of compound (27b): Compound 27b was prepared in a similar manner

. to compound 27a using a 40 kDa PEG linker 6b in place of 5 kDaPEG linker 6a. Example 29* Synthesis of compound (27c): Compound 27c was prepared in a similar to compound 27a using a 40 kDa PEG linker 6c in place of 5 kDaPEG linker 6a. Synthesis of compound (29b): Compound 29b was prepared in a similar manner to compound 29a using a 40 kDa PEG linker 14b in place of the 5 kDaPEG linker 14a. UV assay for this compound indicated the amount of daunorubicin present is 2.1%. Synthesis of compound (31b): Compound 31b was prepared in a similar manner to compound 31a using a 40 kDa PEG linker 21b in place of the 5 kDa linker 21a. Con-jugation of compound 2a or 32a to (L)-asparaginase: synthesis of compound (33): PEG linker 2a or 32a (450 mg, 0.084 mmol, 317 eq) was added to native (L)-asparaginase (37.5 mg, 416 gL, 0.00027 mmol) in. gentle stirring. The solution was stiffed at 30 'C for 30 minutes. A GPC column (Zorbax GF-450) was used to monitor PEG conjugation: The PEG-Asp conjugate had a retention time of 8.5 min. At the end of the reaction (as evidenced by the absence of native enzyme),. . . freshly prepared 33 was found to be 137 IU/mg (native asparaginase = 217 IU/mg). Protein modification of asparaginase with SS-PEG (a permanent linker) using a procedure corresponding to that described in the aforementioned U.S. Patent No. 4,179,337 gave a sin-fflar activity of 120 IU/mg. A. Kinetics of hydrolysis of PEG conjugate of (L)-asparaginase (33) in rat plasma and buffer: The rate of hydrolysis of compound 33 in rat plasma was measured using a. Synthesis of (34). a protein hybrid: con-jugation of (33) with SS-PEG (a permanent linker): PEG linker 2a (393 mg, 0.073 mmol, 70 eq) was reacted with native (L)-asparaginase (150 mg, 1.664 m.L, 0.00106 mmol) in 30 m.L. Demonstration of selective removal of reversible PEG linker (2a) from the hybrid (34): Generation of a permanently modified asparaffinasr,, compound (5, 100 mg of 34 is dissoved in 30. . . (Amicon) having a molecular weight cut off of 50,000 Daltons to remove free PEG which was formed by selective cleavage of the conjugates formed from the PEG-2a linker. The solution now contains only

```
linker is hydrolyzed,
       leaving only the relatively perminantly bonded PEG attached to
       the asparaginase.
       daunorubicin was given i.p. in balb/c mice bearing
       S.C. Madison 109 Lung Carcinoma at I & 4 days after inoculation. The
       median
         tumor volume of treatment and control groups were measured and
       compared when
       the control group's median tumor volume reached approximately
       2000 mm3.
      was administered intravenously in nude
       mice bearing a human ovarian carcinoma xenografts at 1, 5 & 9 days after
       inoculation. The median tumor volume of treatment and control
       measured and compared when the control group's median tumor
       volume reached
       approximately 1000 mm3.
       2 0 c William C. Rose. Evaluation of Madison 109 Lung Carcinoma as a
       for Screening Antitumor Drugs. Cancer Treatment Reports, 1981,
       65, 299.
CLMEN.
        . . the formula:
       I R21 R31 S
       Y4
       Ri
       R11- L1]] C Y3 C-y2'-G
       Rio K4
       L Jm Ar _V
       wherein: R t R51 U
       LI is a bifunctional linking moiety;
       ΥJ
       G is H or -C-rs- where
       B is H, a leaving group, a residue of an amine-containing moiety, or a
       residue of.
       claim I 1, wherein A is selected from the group consisting
       of hydrogen, C0211, C1-6 alkyl moieties, dialkyl acyl urea alkyls and
        IR3]S I R2]r
       RI Y4 Rs
       G'--Y2-C Y3 C
       R4 Ar Rio
       M
       61t
       wherein G' is the same as G or another member of the group.
       C Y3 C N 2 B2
       Ar L M4
       IR R51U
       wherein M2 is a cleavable or reversible protecting group;
       LI is a bifunctional linking moiety; YJ
       {\tt B2} is selected from the group consisting of H, UH] {\tt HU-}
       and leaving groups;
       Y,-4 are independently 0, S, or NR,2
       (r), . .
       step of
       e. reacting the prodrug transport form of step d with an amine-
       containing or hydroxyl-containing compound residue to form a
       conjugate.
```

SS-PEG conjugated asparaginase (35). Thus, the revesible

```
31s
       Y
       11 I1
      M2 i]]C Y3 C Y2 B2
      Ar L K4
       1R R51U
       wherein M2 is a cleavable or reversible protecting group;
       LI is a bifunctional linking moiety; YJ
       B, is selected from the group consisting of H, OH, HC- and leaving
       groups;
       YI-4 are independently 0 or S or. . .
=>
---Logging off of STN---
=>
Executing the logoff script...
=> LOG Y
COST IN U.S. DOLLARS
                                                 SINCE FILE
                                                                 TOTAL
                                                      ENTRY
                                                               SESSION
FULL ESTIMATED COST
                                                      26.20
                                                                 39.03
STN INTERNATIONAL LOGOFF AT 12:08:54 ON 10 FEB 2006
Connecting via Winsock to STN
Welcome to STN International! Enter x:x
LOGINID: SSSPTA1642BJF
PASSWORD:
TERMINAL (ENTER 1, 2, 3, OR ?):2
                                                     * * * * * * * * *
                      Welcome to STN International
 NEWS
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      1
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                  "Ask CAS" for self-help around the clock
                  New STN AnaVist pricing effective March 1, 2006
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         FEB 27
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      4
         APR 04
                  STN AnaVist $500 visualization usage credit offered
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                  CA/CAplus enhanced with 1900-1906 U.S. patent records
 NEWS
      6
         MAY 11
                  KOREAPAT updates resume
      7
 NEWS
         MAY 19
                  Derwent World Patents Index to be reloaded and enhanced
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         MAY 30
                  IPC 8 Rolled-up Core codes added to CA/CAplus and
                  USPATFULL/USPAT2
 NEWS 9
         MAY 30
                  The F-Term thesaurus is now available in CA/CAplus
 NEWS 10
          JUN 02
                  The first reclassification of IPC codes now complete in
                  INPADOC
 NEWS 11 JUN 26
                  TULSA/TULSA2 reloaded and enhanced with new search and
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